

**Office of the State Controller**

Self-Assessment of Internal Controls

**Major Financial Assistance Cycle  
Federal Programs**

Control Policies and Procedures

**Activities Allowed or Unallowed**

Agency \_\_\_\_\_ Year End \_\_\_\_\_

Program Name \_\_\_\_\_ CFDA # \_\_\_\_\_

**Bolded questions identify critical controls. A critical control is a control that will prevent or detect an error in the event that all other controls fail.**

Yes No N/A

- |             |    |   |
|-------------|----|---|
| ___ ___ ___ | 1. | Does information from the Federal agency flow to personnel responsible for determining if activities are allowable? |
| ___ ___ ___ | 2. | <b>Are supporting documents reviewed for allowable services information?</b>  |
| ___ ___ ___ | 3. | <b>Are comparisons made between prior year services and current year service?</b>                                   |